

APR 28 2003  
PATENT & TRADEMARK OFFICE

Substitute Form PTO-1449 (37 CFR §1.98(b))		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 09857-062001	Application No. 09/898,515
<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small>		Applicant Dean Furbush et al.			
		Filing Date July 3, 2001	Group Art Unit 3692		

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
	AC						
	AD						

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>							
Examiner Initial	Desig. ID	Document					
N.J	AE	SR-NASD-99-53 – Amendment No. 1, NASD, 10/26/1999, 26 pages					
A	AF	SR-NASD-99-53 – Amendment No. 2, NASD, 10/29/1999, 1 page					
A	AG	SR-NASD-99-53 – Amendment No. 3 and Response to Comments, NASD, 3/22/2000, 39 pages					
	AH	SR-NASD-99-53 – Amendment No. 4, NASD, 3/23/2000, 53 pages					
	AI	SR-NASD-99-53 – Amendment No. 5 and Second Response to Comments, NASD, 5/16/2000, 35 pages					
	AJ	SR-NASD-99-53 – Amendment No. 6, NASD, 7/6/2000, 29 pages					
↓	AK	SR-NASD-99-53 – Securities and Exchange Commission, Proposed Rule Change, Amendment No. 8, NASD, 10/20/2000, 123 pages					
N.J	AL	SR-NASD-99-53 – Amendment No. 9, NASD, 1/8/2001, 3 pages					

**RECEIVED**  
MAY 01 2003  
**GROUP 3600**

Examiner Signature <u>N. Subraman</u>	Date Considered 6/1/06
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	